

How can I follow the virtual Annual General Meeting?

The Full AGM will be transmitted live with image and sound and will be accessible for our shareholders as well as for the public audience on June 09, 2021, 10:00 hours CEST (= 08:00am UTC), available under <https://group.dws.com/ir/annual-general-meeting/>. Shareholders or their authorised representatives are excluded from physical participation.

How can I exercise my voting rights?

Voting rights can be exercised in any preferred form of the following:

- You can take advantage of absentee voting or authorize an employee of DWS Group (company proxy) to cast your votes in accordance with your instructions.
- Alternatively, you can have your voting rights exercised on your behalf by a representative of your choice, e.g. the intermediary where you hold your securities account or an association of shareholders. They, too, can then make use of absentee voting or the company proxy.
- Our Shareholder Portal offers a convenient and secure way of exercising your voting rights by absentee voting or by authorizing our company proxies. After a timely registration, the portal can be used to change and finalize your voting behaviour online during the Annual General Meeting on June 09, 2021 until the end of the question answering period.

The voting by electronic absentee vote and the electronic issuing of proxy authorisations and instructions to the company proxies via the shareholder portal require a proper registration for the General Meeting as well as the orderly transmission of proof of share ownership and registration in the Shareholder Portal. You can register in the Shareholder Portal with the login data you receive for this purpose after having duly registered and provided proof of share ownership.

The proof of authorization towards the Company can also be sent electronically to the following e-mail address:
anmeldestelle@computershare.de

The registration must be received by the company in writing or using electronic communication in German or English language at the latest June 02, 2021, 24:00 hours CEST, (=10:00pm UTC) to the following address:

DWS Group GmbH & Co. KGaA
c/o Deutsche Bank AG
Securities Production
General Meetings
Postfach 20 01 07
60605 Frankfurt am Main
Telefax: +49 69 1201286045
E-Mail: wp.hv@db-is.com

The postal voting can be submitted in writing or in text form using the absentee voting form printed on the voting card sent out after duly registration. A printout of the form made available on the Company's website (<https://group.dws.com/ir/annual-general-meeting/>) may also be used. The form must be completed in full, in particular indicating the voting card number - and received by June 8, 2021, 18:00 CEST (= 16:00 UTC) (receipt) at the following address:

DWS Group GmbH & Co. KGaA
c/o Computershare Operations Center
80249 München
Telefax: +49 89 30903-74675
E-Mail: anmeldestelle@computershare.de

At what date do I have to hold DWS shares in order to be entitled to vote?

Pursuant to Section 22 of the Articles of Association, only those shareholders who register with the company and prove their eligibility in due time are entitled to exercise their voting rights. Confirmation must relate to the beginning of the 21st day before the General Meeting, in other words May 19, 2021, 24:00 hours CEST (= May 18, 2021, 10:00 p.m. UTC), which is the "record date". The registration and the confirmation of eligibility must be in German or English and must be received by the company at the address below by no later than 24:00 hours CEST (= 10:00 p.m. UTC) on June 2, 2021.

DWS Group GmbH & Co. KGaA
c/o Deutsche Bank AG
Securities Production
General Meetings
Postfach 20 01 07
60605 Frankfurt am Main
Telefax: +49 69 1201286045

Can Non-shareholders follow the Annual General Meeting?

The entire Annual General Meeting will be broadcast live on the internet at <https://group.dws.com/ir/annual-general-meeting/> from 10:00 hours CEST (= 8:00 a.m. UTC) on June 9, 2021 for shareholders and the general interested public in audio and image.

How and where can shareholders register for the Annual General Meeting?

Shareholders will receive an invitation to the Annual General Meeting, including the link to the shareholder portal via their depository banks. Shareholders can register for the Annual General Meeting via the response sheet.

When and where will the shareholder portal be accessible?

The shareholder portal will be made available on May 19, 2021 and will then be accessible to our shareholders. The corresponding link can be found on the AGM website [/ir/annual-general-meeting/](https://group.dws.com/ir/annual-general-meeting/).

Where can I find the respective link to the shareholder portal?

As of 19 May 2021, the link will be available on our AGM website [/ir/annual-general-meeting/](https://group.dws.com/ir/annual-general-meeting/).

How do I register at the Shareholder Portal?

Access to the shareholder portal is available via our website <https://group.dws.com/ir/annual-general-meeting/>. The access will be available on May 19.

To log in, you will need to enter your five-digit number printed on the access medium and the adjacent single-digit check digit



Subsequently, click on "Log in". Enter your personal data such as first name, last name, place of residence and shareholding. Please enter this information exactly as it appears on your voting card. Please ensure that you do not enter titles, name affixes, etc. in the "First name" or "Last name" field. After checking your data, click on "Log in". Then follow the further instructions.

What does a shareholder do, who has not received an invitation to the Annual General Meeting?

The acquisition of the shares was either after the mailing of our invitation letter or the invitation letter could not be delivered.

Please contact your depository bank for further clarification.

Where do I submit my questions and by when?

Only shareholders who have duly registered for the Annual General Meeting may submit their questions. Questions may only be submitted via the company's access-protected shareholder portal (accessible at <https://group.dws.com/ir/annual-general-meeting/>).

During the Annual General Meeting, follow-up questions may be submitted during the General Meeting, stating the subject of the question submitted in advance to which the follow-up question relates. No more than two follow-up questions are permitted per shareholder, and such questions must not exceed 500 characters each (including spaces).

For further details on the right to ask questions by electronic communication, please refer to our agenda at <https://group.dws.com/ir/annual-general-meeting/>.

Will my name be published when answering the questions?

When answering questions during the Annual General Meeting, the name of the questioner may only be disclosed (as far as questions are answered individually) if explicit consent to the disclosure of the name has been given with the submission of the question. It should be noted that the entire Annual General Meeting will be broadcast live on the internet for shareholders and the general public without access restrictions, as described above.

Can a shareholder transfer voting rights to a proxy on the day of the Annual General Meeting?

Yes, Shareholders can authorize the proxies appointed by the company to exercise these voting rights on their behalf. Furthermore, they can be represented by a third party.

Can I submit a counterproposal or election proposal at the Annual General Meeting?

Prior to the General Meeting, the company's shareholders may submit counterproposals to proposals of the General Partner and/or the Supervisory Board in respect of specific items of the Agenda, as well as proposals for the election of candidates to the Supervisory Board or the auditors.

How can I submit a counterproposal?

Counterproposals are to be submitted at the latest by on May 25, 2021, 24:00 hours CEST (= 10:00 p.m. UTC), to:

DWS Group GmbH & Co. KGaA
Investor Relations
Mainzer Landstr. 11–17
60329 Frankfurt am Main
IR-Hotline: +49 69 910-14700
Telefax: +49 69 910-32223
E-Mail: investor.relations@dws.com<<http://investor.relations@dws.com>>

Counterproposals should stipulate a reason; this does not apply to election proposals.

Where can I view any possible counterproposal?

The Company will publish counterproposals and election proposals from shareholders received duly and on time on its AGM website, as well as the names of the shareholders.

Will the Annual General Meeting broadcast be available online after the event?

The two opening speeches by the Chairman of the Supervisory Board and the Chairman of the Executive Board of the General Partner of DWS Group GmbH & Co. KGaA (CEO) will be available on our AGM Website.

A transcript of the general debate will also be available online.

In which language will the Annual General Meeting be held?

The Annual General Meeting will be held in German. However, a transmission with English translation will be available.

How can I request documents for the Annual General Meeting?

Protecting our natural resources and thereby our environment is of utmost importance to us. For this reason, we have decided not to send out the notice of convocation in full printed form but a short invitation letter together with the agenda. The same applies to all AGM related documents.

The AGM agenda as well as further information related to the AGM are available online at <https://group.dws.com/ir/annual-general-meeting/></ir/annual-general-meeting/>. These documents will also be accessible during the whole AGM event at the mentioned internet address.

Furthermore, documents can be requested at the address below:

DWS Group GmbH & Co. KGaA
Investor Relations
Mainzer Landstr. 11–17
60329 Frankfurt am Main
IR-Hotline: +49 69 910-14700
Telefax: +49 69 910-32223
E-Mail: investor.relations@dws.com<<mailto:investor.relations@dws.com>>

Can I submit statements for publication prior to the Annual General Meeting?

Shareholders will have the opportunity to submit statements (up to 10,000 characters in length) on the Agenda prior to the General Meeting for the company to publish on its website. It is requested that statements be submitted in text form, indicating the ballot number given on the ballot, to the following address, fax number or e-mail address at the latest by 24:00 hours CEST (= 10:00 p.m. UTC) on June 6, 2021 to:

DWS Group GmbH & Co. KGaA
Investor Relations
Mainzer Landstr. 11-17
60329 Frankfurt am Main
Telefax: +49 69 910-32223
E-mail: investor.relations@dws.com<<mailto:investor.relations@dws.com>>

Can I contribute a speech during the Annual General Meeting?

The format of the General Meeting as a virtual general meeting without the physical presence of shareholders or their authorized representatives (proxies) does not provide for shareholders to be able to make speeches during the General Meeting.

How do I receive the confirmation of my voting?

Shortly after the Annual General Meeting, shareholders who voted at the Annual General Meeting can access confirmation of the count of their votes via the shareholder portal.

When does DWS pay its dividend? How much is the dividend?

For the year 2020, a dividend of EUR 1.81 per share has been proposed by the General Partner and the Supervisory Board. As soon as the Annual General Meeting formally approves the dividend on June 9, 2021, it will be paid out to all shareholders entitled to dividends on June 14, 2021 by the banks involved in the dividend settlement.

How do I contact the shareholder hotline?

If you have any further questions, please feel free to contact us from Monday to Friday, from 9:00 a.m. to 5:00 p.m. in each case:

On the day of the Annual General Meeting, June 9, 2021, we will be available from 8:00 a.m. onwards.

IR-Hotline: +49 69 910-14700

Or via E-Mail: investor.relations@dws.com

For technical questions regarding the shareholder portal, please contact our AGM service provider "Computershare" directly:

Tel. Computershare: +49 89 30903 6330

E-Mail: investorportal@computershare.de

AGM-Hotline: +49 (0) 69 910 14700

Monday- Friday: 9:00am - 6:00pm

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